T-61.184 Automatic Speech Recognition: From Theory to Practice

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Homework Exercises 1 & 2

Due date extended to October 4th (latest)

I understand that some people have computing issues and need more time

Homework #2 Due October 4th (hard deadline)

- Does not involve use of computers
- □ Same login/password as HW1
- □ There will be no time extensions on HW2!

Homework #1: Recap

Fundamental concepts

- Notion of Training, Development and Final Test sets
- Feature extraction
- Viterbi alignment of training data
- Estimation of HMM model parameters from audio and Language Model from text data
- □ How to measure the word error rate of the final system

Advanced concepts

- Vocal Tract Length Normalization
- Speaker Adaptation
- Speaker Adaptive Training

Expected Outcomes

Topics we'll be talking about this term,

- Feature Extraction
- □ HMM Modeling and data alignment
- Computing Word Error Rates
- □ Estimation of Statistical Language Models
- □ Speaker Adaptation (MLLR, VTLN)
- Speaker Adaptive Training
- You might not understand all the concepts in HW1, but hopefully were able to walk through each of the steps. As the term progresses, the items in the first homework will become more clear.

Today's Outline

- Consider ear physiology
- Consider evidence from psycho-acoustic experiments
- Review current methods for speech recognition feature extraction
- Some considerations of what (possibly) we are doing wrong in the ASR field

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Ear Physiology

• Outer Ear:

2.5 cm long

Pinna

Auditory Canal

□ Tympanic Membrane (Eardrum)

Middle Ear

Ossicles

□ 3 bones: Malleus, Incus, Stapes

Eustachian Tube

Inner Ear

- Cochlea
- Semicircular Canals

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The Tympanic Membrane (Eardrum)



- Receives vibrations from auditory canal
- Transmits vibrations to Ossicles → then to Oval Widow (inner ear)
- Acts to amplify signal (eardrum is 15x larger in area than oval window)

Image from http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html

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The Middle Ear



Ossicles:

3 bones: Malleus, Incus, Stapes

Amplifies signal by about a factor of 3

Sends vibrations to the oval window (inner ear)

Image from http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html

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The Inner Ear



Semicircular Canals

- □ organs of balance
- measure motion / acceleration

Cochlea

- □ (Cochlea = 'Snail' in Latin)
- □ Acts as frequency analyzer
- 2 ¾ turns
- \Box ~ 3.2 cm length

Image from http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html

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The Organ of Corti



- Contains 4 rows of hair cells (~ 30,000 hair cells)
- Hair cells move in response to pressure waves in the vestibular and tympanic canals
- Hair cells convert motion into electrical signals
- Hair cells are tuned to different frequencies

Image from http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html

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Graphical Example of Place Theory



From http://www.blackwellscience.com/matthews/

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Summary

Outer Ear

Sound waves travel down auditory canal to eardrum

Middle Ear

Sound waves cause eardrum to vibrate

Ossicles (Malleus, Incus, Stapes) bones amplify and transmit sound waves to the Inner Ear (cochlea)

Inner Ear

- Cochlea acts like a spectrum analyzer
- Converts sound waves to electrical impulses
- Electrical Impulses travel down auditory nerve to the brain

Interesting Aspects of Perception

- Audible sound range is from 20Hz to 20kHz
- Ear is not equally sensitive to all frequencies
- Perceived loudness is a function of both the frequency and the amplitude of the sound wave

Intensity vs. Loudness

Intensity: Physically measurable quantity

□ Sound power per unit area

□ Computed relative to the threshold of hearing:

$$I_0 = 10^{-12} watts / m^2$$

Measured on the decibel scale:

$$I(dB) = \log_{10} \left[\frac{I}{I_0} \right]$$

Loudness: Perceived quantity

- □ Related to intensity
- □ Ear's sensitivity varies with frequency

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source power

sphere area

Loudness of Pure Tones

- Contours of "equal loudness" can be estimated
- Labeled unit is the phon which is determined by the sound-pressure-level (SPL) in dB at 1kHz
- Ear relatively insensitive to low-frequency sounds of moderate to low-intensity
- Maximum sensitivity of the ear is at around 4kHz. There is a secondary local maximum near 13kHz due to the first two resonances of the ear canal

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Loudness for Complex Tones

- The total loudness of two pure tones, each having the same SPL, will be judged equal for frequency separations within a critical bandwidth. Once the frequency separation exceeds the critical bandwidth, however, the total loudness begins to increase.
- Broadband sounds will generally sound louder than narrow band (less than a critical bandwidth) sounds.

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Critical Bands

- Cochlea converts pressure waves to neural firings:
 - Vibrations induce traveling waves down the basilar membrane
 - Traveling waves induce peak responses at frequencyspecific locations on the basilar membrane

Frequency perceived within "critical bands"

- □ Act like band-pass filters
- Defines "frequency resolution" of the auditory system
- □ About 24 critical bands along basilar membrane.
- Each critical band is about 1.3 mm long and embraces about 1300 neurons.

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Measurement of Critical Bands

Two methods for measuring critical bands

Loudness Method and Masking Method

Loudness Method

Bandwidth of a noise-burst is increased

□ Amplitude decreased to keep power constant

When bandwidth increases beyond critical band, subjective loudness increases (since the signal covers > 1 critical band)



Bark Frequency Scale

- A frequency scale on which equal distances correspond with perceptually equal distances.
- 1 bark = width of 1 critical band
- Above about 500 Hz this scale is more or less equal to a logarithmic frequency axis.
- Below 500 Hz the Bark scale becomes more and more linear.

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Mel Scale

- Linear below 1 kHz and logarithmic above 1 kHz
- Based on perception experiments with tones:
 - Divide frequency ranges into 4 perceptually equal intervals –or--
 - Adjust frequency of tone to be ½ as high as a reference tone
- Approximation,

$$Mel(f) = 2595\log_{10}(1 + \frac{f}{700})$$

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Masking

- Some sounds will "mask" or hide other sounds
 - Depends on the relative frequencies and loudnesses of the two sounds.
- Pure tones close together in frequency mask each other more than tones widely separated in frequency.
- A pure tone masks tones of higher frequency more effectively than tones of lower frequency.
- The greater the intensity of the masking tone, the broader the range of frequencies it can mask.

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Loudness and Duration

- Loudness grows with duration, up to about 0.2 seconds.
- Muscles attached to the eardrum and ossicles provide protection from impulsive sounds (e.g., explosions, gunshots),
 - Up to about 20 dB of protection is provided when exposed to sounds in excess of 85 dB
 - Reflex begins 30 to 40 ms after the sound overload and does not reach full protection for another 150 ms.

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Speech Coding vs. Recognition

- Many advances have been made over the last 20 years in the area of speech coding (e.g., CELP, Mpeg-3, etc.)
- Coding techniques focus on modeling aspects of perception (e.g., masking, inaudibility of sounds, etc) to maximally model and compress speech
- Those techniques by-in-large <u>have not</u> been widely incorporated into the feature extraction stage of speech recognition systems. Why do you think this is so?

Feature Extraction for Speech Recognition

- Frame-Based Signal Processing
- Linear Prediction Analysis

Cepstral Representations

Linear Prediction Cepstral Coefficients (LPCC)

- □ Mel-Frequency Cepstral Coefficients (MFCC)
- Perceptual Linear Prediction (PLP)

Goals of Feature Extraction

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- Compactness
- Discrimination Power
- Low Computation Complexity
- Reliable
- Robust

Discrete Representation of Speech



Digital Representation of Speech

Sampling Rates

16,000 Hz (samples/second) for microphone speech
8,000 Hz (samples/second) for telephone speech

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Storage formats:

Pulse Code Modulation (PCM)

 16-bit (2 bytes) per sample
 +/- 32768 in value
 Stored as "short" integers

Mu-Law and A-Law Compression
NIST Sphere "wav" files
Microsoft "wav" files

Practical things to Remember

Byte swapping is important

Little-endian vs. Big-endian

Some audio formats have headers

- □ Sometimes we say "raw" audio to mean "no header"
- Headers contain meta-information such as recording conditions and sampling rates and can be variable sized
- □ Example formats: NIST Sphere, Microsoft wav, etc
- Tip: Most Linux systems come with a nice tool called "sox" which can be used to convert signals from many formats into PCM bit-streams. For a 16kHz Microsoft wav file:

sox audiofile.wav -w -s -r 16000 audiofile.raw



Signal Pre-emphasis

- The source signal for voiced speech has an effective rolloff of -6dB/octave. Many speech analysis methods (e.g., linear prediction) work best when the source is spectrally flattened.
- Apply first order high-pass filter,

$$H(z) = 1 - az^{-1}, \qquad 0.9 \le a \le 1.0$$

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Implemented in time-domain as,

$$\widetilde{s}(n) = s(n) - as(n-1)$$

"a" typically 0.95 to 0.97
Frame Blocking

- Process the speech signal in small chunks over which the signal is assumed to have stationary spectral characteristics
- Typical analysis window is 25 msec
 400 samples for 16kHz audio
- Typical frame-rate is 10 msec

□ Analysis pushes forward by 160 samples for 16kHz audio

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Frames generally overlap by 50% in time Results in 100 "frames" of audio per second



Frame Windowing

Each frame is multiplied by a smooth window function to minimize spectral discontinuities are the begin/end of each frame,



Example: Hanning Window



Alternative Window Function

Can also use the Hamming window,

$$w(n) = \begin{cases} 0.54 - 0.46 \cos\left(\frac{2\pi(n-1)}{N-1}\right), & n = 0, 1, \dots, N-1\\ 0, & n & \text{otherwise} \end{cases}$$

Default window used by the Cambridge HTK system

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Frame-based Processing Example: Speech Detection

- Accurate detection of speech in the presence of background noise is important to limit the amount of processing that is needed for recognition
- Endpoint-Detection algorithms must take into account difficult situations such as,
 - Utterances that contain low-energy events at beginning/end (e.g., weak fricatives)
 - □ Utterances ending in unvoiced stops (e.g., 'p', 't', 'k')
 - Utterances ending in nasals (e.g., 'm','n').
 - □ Breath noises at the end of an utterance

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End-Point Detection

- End-Point Detection Algorithms mainly assume the entire utterance is known. Must search for begin and end of speech
- Rabiner and Sambur, "An Algorithm for Determining the Endpoints of Isolated Utterances". The Bell System Technical Journal, Vol. 54, No. 2, February 1975, pp. 297-315
- Proposed end-point algorithm based on,
 - □ ITU Upper energy threshold.
 - □ ITL Lower energy threshold.
 - □ IZCT Zero crossings rate threshold.

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Energy and Zero-Crossing Rate

Log-Frame Energy

□ log of the square sum of the signal samples

Zero-Crossing Rate

□ Frequency at which the signal cross the 0 axis

$$ZCR = 0.5\sum_{i=1}^{N} \left[\operatorname{sign}(s(i)) - \operatorname{sign}(s(i-1)) \right]$$

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Idea of the Rabiner / Sambur Algorithm

Begin-Point:

- Search for the first time the signal exceeds the upper energy threshold (ITU).
- Step backwards from that point until the energy drops below the lower energy threshold (ITL).
- Consider previous 250 msec of zero-crossing rate. If ZCR exceeds IZCT threshold 3 or more times, set begin point to the first occurrence that threshold is exceeded

End-Point:

Similar to begin-point algorithm but takes place in the reverse direction.

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Linear Prediction (LP) Model

Samples from a windowed frame of speech can be predicted as a linear combination of P previous samples and error u(n):

$$s(n) = \sum_{k=1}^{P} a_k s(n-i) + G \cdot u(n)$$

u(n) is an excitation source and G is the gain of the excitation. The a_i terms are the LP coefficients and P is the order of the model.

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Linear Prediction (LP) Model

In the Z-domain,

$$S(z) = \sum_{k=1}^{P} a_k z^{-1} S(z) + G \cdot U(z)$$

Results in a transfer function,

$$H(z) = \frac{S(z)}{U(z)} = \frac{G}{1 - \sum_{k=1}^{P} a_k z^{-1}} = \frac{G}{A(z)}$$
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Computing LP Parameters

- The model parameters are found by taking the partial derivative of the MSE with respect to the model parameters.
- Can be shown that the parameters can be solved quite efficiently by computing the autocorrelation coefficients from the speech frame and then applying what is known as the Levinson-Durbin recursion.

LP Parameter Estimation

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LP model provides a smooth estimate of spectral envelope.



 $H(e^{j\omega}) = \frac{G}{A(z^{j\omega})}$

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\Box P between 8\rightarrow12 for 8kHz audio,
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 \Box P between 16 \rightarrow 24 for 16kHz audio.

Cepstral Analysis of Speech

Want to separate the source (E) from the filter (H),

$$S(e^{j\omega}) = H(e^{j\omega})E(e^{j\omega})$$
$$\log\left\{S(e^{j\omega})\right\} = \log\left\{H(e^{j\omega})\right\} + \log\left\{E(e^{j\omega})\right\}$$

- "E" roughly represents the excitation and "H" represents the contribution from the vocal tract.
- Slowly varying components of log-spectrum represented by low frequencies and fine detail by higher frequencies
- Cepstral coefficients are the coefficients derived from the Fourier transform of the log-magnitude spectrum

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Cepstral Analysis of Speech



LP Cepstral Coefficients (LPCC)

Simple recursion to convert LP parameters to cepstral parameters for speech recognition,

$$c_0 = \ln \sigma^2$$

$$c_m = a_m + \sum_{k=1}^{m-1} \left(\frac{k}{m}\right) c_k a_{m-k} \qquad 1 \le m \le P$$
$$c_m = \sum_{k=1}^{m-1} \left(\frac{k}{m}\right) c_k a_{m-k} \qquad m > P$$

Typically 12-14 coefficients are computed

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Liftering

- High-order cepstral coefficients can be numerically small.
- Common solution to lifter (scale) the coefficients,

$$c'_{m} = \left(1 + \frac{L}{2}\sin\left(\frac{\pi m}{L}\right)\right)c_{m}$$

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Default value for L is 22 for a 12th order cepstral vector (m=1..12) for the Cambridge HTK recognizer

Impact of Liftering



Additive Noise and LPCCs

Mansour and Juang (1989) studied LPCCs in additive white noise and found,

- 1. The means of cepstral parameters shift in noise
- 2. The norm of Cepstral vectors is reduced in noise
 - Vectors with large norms are less effected by noise compared to vectors with smaller norms
 - Lower order coefficients are more affected compared to higher order coefficients
- 3. The direction of the cepstral vector is less sensitive to noise compared to the vector norm.
- They proposed a projection measure for distance calculation based on this finding. Useful for earlier recognizers based on template matching.

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Mel-Frequency Cepstral Coefficients (MFCC)

- Davis & Mermelstein (1980)
- Computes signal energy from a bank of filters that are linearly spaced at frequencies below 1kHz and logarithmically spaced above 1kHz.
- Same and equal spacing of filters along Mel-Scale,

$$Mel(f) = 2595 \log_{10}(1 + \frac{f}{700})$$

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Mel-Scale Filterbank Implementation

- (20-24) triangular shaped filters spaced evenly along the Mel Frequency Scale with 50% overlap
- Energy from each filter is computed (N = DFT size, P=# filters) at time t:



Mel-Scale Filterbank Implementation

Equally spaced filters along the Mel-frequency scale with 50% overlap



Mel (f)
 Analogous to <u>non-uniformly</u> spaced filters

f

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along linear frequency scale:

Final Steps of MFCC Calculation

- Compute Log-Energies from each of P filters
- Apply Discrete Cosine Transform (DCT)

$$MFCC[i][t] = \sqrt{\frac{2}{P}} \sum_{j=1}^{P} \left\{ \left(\log e[j][t] \right) \cdot \cos \left(\frac{\pi i}{P} (j - 0.5) \right) \right\}$$

- DCT: (1) improves diagonal covariance assumption, (2) compresses features
- Typically 12-14 MFCC features are extracted (higher order MFCCs useful for speaker-ID)

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Why are MFCC's still so Popular?

- Efficient (and relatively straight forward) to compute
- Incorporate a perceptual frequency scale
- Filter banks reduce the impact of excitation in the final feature sets
- DCT decorrelates the features
 Improves diagonal covariance assumption in HMM modeling that we will discuss soon

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Perceptual Linear Prediction (PLP)

- H. Hermansky. "Perceptual linear predictive (PLP) analysis of speech". Journal of the Acoustical Society of America, 87:1738-1752, 1990.
- Includes perceptual aspects into recognizer
 - equal-loudness pre-emphasis
 - □ intensity-to-loudness conversion
- More robust than linear prediction cepstral coefficients (LPCCs).

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PMVDR Cepstral Coefficients

- Perceptual <u>Minimum Variance Distortionless</u> <u>Response (PMVDR) Cepstral Coefficients</u>
- Based on MVDR spectral representation
 Improves modeling of upper envelope of speech signal
- Shares some similarities to PLP
- Does not require the filter bank implementation of PLP, LPCC, or MFCC features

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MVDR Spectral Estimation

Capon (1969)

- The signal power at a given frequency, ωι, is estimated by designing an *M*th order FIR filter, *h*ι(n), that minimizes its output power subject to the constraint that the response at the frequency of interest (ωι) has unity gain
- This constraint is known as a distortionless constraint.



MVDR Spectral Estimation

The Mth order MVDR spectrum of a frame of speech is obtained from the LP coefficients (a's) and LP prediction error (Pe),

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$$S_{MV}^{(M)}(\omega) = \frac{1}{\sum_{k=-M}^{M} \mu_k e^{-j\omega k}}$$
$$\mu_k = \begin{cases} \frac{1}{P_e} \sum_{i=0}^{M-k} (M+1-k-2i) a_i a_{i+k}^*, & k = 0, \dots, M \\ \mu_{-k}^* & k = -M, \dots -1 \end{cases}$$

MVDR Spectral Estimation

- MVDR has been shown to provide improved tracking of the upper envelope of the signal spectrum (Murthi & Rao, 2000)
- Suitable for modeling voiced and unvoiced speech
- Provides smoother estimate of signal spectrum compared to LP. Makes it more robust to noise



MVDR Spectrum Example




Dynamic Cepstral Coefficients

- Cepstral coefficients do not capture temporal information
- Common to compute velocity and acceleration of cepstral coefficients. For example, for delta (velocity) features,



Dynamic Cepstral Coefficients

Can also compute the delta-cepstra using the "simple-differences" of the static cepstra,

$$\Delta cep[i][t] = \frac{(cep[i][t+D] - cep[i][t-D])}{2D}$$

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D again is typically set to 2.

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Frame Energy

Frame energy is a typical feature used in speech recognition. Frame energy is computed from the windowed frame,

$$e[t] = \sum_{m} s^2(n)$$

• Typically a normalized log energy is used. E.g., $e_{\max} = \underset{t}{\arg \max} \{0.1 \cdot \log(e[t])\}$ $E[t] = \arg \max\{-5.0, 0.1 \cdot \log(e[t]) - e_{\max} + 1.0\}$

Final Feature Vector for ASR

A single feature vector,

□ 12 cepstral coefficients (PLP, MFCC, ...) + 1 norm energy \Box + 13 delta features

□ + 13 delta-delta

- 100 feature vectors per second
- Each vector is 39-dimensional
- Characterizes the spectral shape of the signal for each time slice

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A few thoughts

- Current feature extraction methods model each time-slice of the signal as a single shape
- Noise at one frequency (a tone) destroys the shape and significantly degrades performance
- Human recognition seems to be resilient to localized distortions in frequency...
- Several researchers have proposed independent feature streams computed from localized regions in frequency.
 "Stream-based recognition".

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